

1433 Rec'd PTO 03 FEB 2006

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PTO/SB/21 (09-04)

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# TRANSMITTAL FORM

I.A.

Application Number	10/525,101
Filing Date	08/16/2003
First Named Inventor	Harry Hepfner
Art Unit	
Examiner Name	
Attorney Docket Number	5558 PA01 (formerly KKF150.002APC)

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Missing Parts Transmittal
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Letter; copy of Not. Of Missing
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Parts; Declaration etc.; English
<input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	Translation; check for \$705;
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	and return postcard.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name			
Signature			
Printed name			
Date	Reg. No.	45,543	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Thomas J. Tighe	Date	01/31/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : **HARRY HEPFNER**

)

) For: **AIR PUMP**

)

)

App. No.: **10/525,101**

)

) Group Art Unit:

I.A. Filed: **08/16/2003**

) Confirmation No.: 5649

**MISSING PARTS TRANSMITTAL LETTER**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements under 35 USC 371 which was  
mailed by the Patent Office on 08/31/2005, enclosed are:

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to: Mail Stop Missing Parts,  
Commissioner of Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450 on

1-31-06

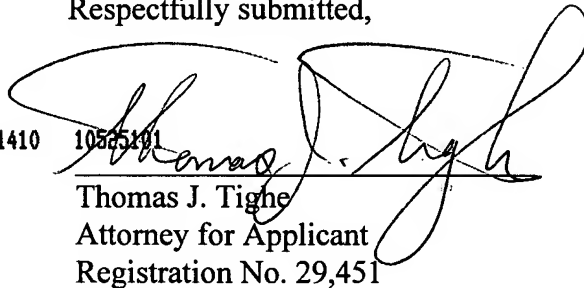
Thomas J. Tighe, Esq.  
(Registered Representative)

  
(Signature)1-31-06  
(Date of Signature)

1. A copy of the Notification of Missing Requirements under 35 USC 371 as required;
2. Executed Declaration For Utility or Design Patent Application;
3. English language translation;
4. A check in the amount of \$705 for: (1) extension of time fee, (2) the surcharge fee for a Small Entity; and (3) English translation surcharge fee for a Small Entity;
5. Return postcard.

Applicant hereby claims Small Entity status.

Respectfully submitted,



Thomas J. Tighe  
Attorney for Applicant  
Registration No. 29,451

03/20/2006 SAHME1 00000004 111410 10525101

01 FC:2616 180.00 DA

02/08/2006 ATRAN1 00000087 10525101

01 FC:2617 65.00 DP

02/08/2006 ATRAN1 00000087 10525101

02 FC:2253 510.00 DP

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UNITED STATES PATENT AND TRADEMARK OFFICE

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 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/525,101	Harry Hepfner	KKFI50.002APC

INTERNATIONAL APPLICATION NO.
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PCT/EP03/09087

LA. FILING DATE	PRIORITY DATE
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08/16/2003

08/17/2002

20995

KNOBBE MARTENS OLSON & BEAR LLP  
 2040 MAIN STREET  
 FOURTEENTH FLOOR  
 IRVINE, CA 92614

COPY

CONFIRMATION NO. 5649  
 371 FORMALITIES LETTER  
 \*OC000000016903073\*  
 \*OC000000016903073\*

Date Mailed: 08/31/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/17/2005
- Copy of the International Search Report filed on 02/17/2005
- Request for Immediate Examination filed on 02/17/2005
- U.S. Basic National Fees filed on 02/17/2005
- Priority Documents filed on 02/17/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

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Total additional fees required for this application is \$195 for a Small Entity:

- \$65 Surcharge.
- \$130 for English translation surcharge required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/525,101	PCT/EP03/09087	KKF150.002APC

FORM PCT/DO/EO/905 (371 Formalities Notice)

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